

## **Enrollment & Application Instructions**

Thank you for your interest in enrolling and participating in HPAP.

Please complete and submit all required documents listed below to Midwest Health Management Services (MWHMS). Upon receipt of the *Initial Application* form, MWHMS will contact you to set-up an initial meeting within 10 business days. All forms must be received by MWHMS prior to the meeting.

#### Be advised:

- Upon submission of the application, you agree to complete the SDBON HPAP enrollment process.
- Furnishing false information for enrollment or participation in HPAP may constitute cause for denial of admission or termination from HPAP and reporting to the board.

### Submit the following forms to MWHMS:

- 1. <u>Initial Application Form</u>. The information on the application helps MWHMS determine if you are eligible to enroll.
- 2. <u>Intake and History Form</u>. This information helps MWHMS determine if HPAP is indicated for you, if so, they will develop an individualized Participation Agreement for you.
- 3. <u>Authorization and Consent to Release Information Form</u>. This form allows HPAP staff to contact your healthcare providers, attorney, the Board of Nursing, and the Pharmacy Prescription Drug Monitoring Program.
- 4. <u>MWHMS Payment Agreement Form</u>. Please review and sign. Be advised, you are responsible for paying the costs of outside evaluations, treatment, toxicology, and support group attendance. MWHMS will take into consideration your financial resources and specific needs when making referral recommendations for evaluation, treatment, or continuing care.
- 5. Unified Judicial Report. Request a copy of your report from the Clerk of Courts at your local county courthouse. Submission of this report provides HPAP staff your legal history.

#### Submit required forms and documents to:

Midwest Health Management Services (MWHMS) 4109 S. Carnegie Cir Sioux Falls, SD 57106

#### **Enrollment Evaluation and Participation Agreement:**

MWHMS staff will provide your completed forms and supporting documentation to the SDBON HPAP Evaluation Committee. Following review and approval by the committee to enroll you, the committee will provide recommendations for the development of your Participation Agreement (PA). All enrolled participants are required to have a signed PA on file with HPAP; you will be provided an opportunity to review and accept the PA. The PA may include recommendations for treatment, continued care, support group participation, toxicology, practice restrictions, worksite monitoring, filing of reports necessary to document compliance, and terms for successful completion of participation.

If you have questions, email: Midwest Health Management Services
<a href="https://www.mwhms.com/">https://www.mwhms.com/</a>
We look forward to aiding you in this matter.



Application Fee: \$200 Submit with application; cash or money order payable to MWHMS.

# **Initial Application Form**

Name: Last			Firs	t		Middle:	
Former Name	e(s) used:						
Home Addres	ss:St	reet/PO Box	City	<u> </u>	Sta	ate:	Zip:
Length of tim	ne at residend	ce:					
Telephone: F	lome		Cell	<u> </u>	Otl	ner:	
Email:							
Date of Birth	:			Social Secu	rity Number:		
□ Male □	Female 🗆	Other					
• _		<i>If you</i> D nursing lic	Exp Exp  hold a SD nurs  ense, mark th	iration date: _ iration date: _ sing license, sk	a nursing licens	4.	ve applied for:
	South Dakot  Lake Area Technical College	ta approved  Mitchell Technical College	☐ Presentation	ing program yo Sinte Gleska  University	ou are enrolled  Sisseton Wahpeton College	in:  Southeast Technical College	□ Western Dak. Technical College
Registered Nurse	Augustana University	Dakota Wesleyan University	□ Lake Area Technical College	□ Mitchell Technical College	Mount Marty University	□ Oglala Lakota College	Presentation College
program:	SD State University	Southeast Technical	University of Sioux	University of SD	Western Dak. Technical		



4.	Additional	eligibility	information

a.	Do you have a history of disciplinary action against a license that may prohibit licensure in in South Dakota?		Yes		No
b.	Have you been mandated/board ordered to enroll in this program?		Yes		No
C.	Are you currently under investigation that may result in licensure discipline or a requirement to participate in this program?		Yes		No
d.	Have you had a history of nursing practice involving significant harm or death to a patient?		Yes		No
e.	Have you engaged in the diversion of drugs or substances for the purpose of sale or distribution to others?		Yes		No
f.	Have you fraudulently written a prescription?		Yes		No
g.	Have you been convicted of criminal behavior that includes crimes involving sexual misconduct, violence, or threatening behavior?		Yes		No
h.	Have you been terminated from this program or any similar program for noncompliance?		Yes		No
<b>Provide an explanation for each YES response</b> on a separate piece of paper, with a description of dates and circumstances; include ALL supporting applicable documentation.					
Pursuant to SDCL 36-2A-8, or rules promulgated under 36-2A-14, an applicant who answers yes may be denied enrollment; however, an applicant's situation will be reviewed, and enrollment may be allowed.					

#### **Enrollment and Participation Records:**

Be advised that in accordance with SDCL 36-2A, MWHMS will:

- Maintain the confidentiality of applicant or participant information and records.
- Only share application, enrollment, or participation records with the board under the following circumstances:
  - When an applicant is not compliant in the enrollment process;
  - When a participant fails to comply with program requirements; or
  - When a participant is Board Ordered/mandated to participate in HPAP.
- Only share a participant's records with other parties upon a participant's signed voluntary written release of the information.
- Exceptions to confidentiality include sharing a participant's information with medical providers
  in an emergency situation, with law enforcement upon imminent threats by a participant to
  harm themselves or others, or with state or local authorities for suspected child or elder abuse
  or neglect.

#### **Informed Consent:**

Be advised that upon signing this application, you, the undersigned, consent to MWHMS reporting your information to the board upon your failure to be eligible to participate in SDBON's HPAP, failure to cooperate with the admission process, failure to comply with HPAP terms of participation, signing of a board order mandating your participation in HPAP, or failure to pay costs or fees associated with participating in HPAP.

#### Attestation:

I, the undersigned, declare and affirm under the penalties of perjury that this application has been examined by me, and to the best of my knowledge and belief, is in all things true and correct. I am aware that should I furnish any false information, such an act may constitute cause for denial of admission or termination from HPAP and reporting to the board.

Applicant Signature	Date	



# **Intake and History Form**

Name: Last	First	Middle:
Emergency Contact Name:		
Emergency Contact Phone Nur	nber:	
Describe the circumstances un	nder which you were referred to	HPAP, and who referred you:
List current stressors:		
Family: I am: □ Single □ Married □ S		
•	, ,	
		es, ages:
		arriages?
Does your significant other use	e drugs or alcohol? □ Yes □ No	
	n diagnosed with a mental healt	th or psychiatric illness? ☐ Yes ☐ No
	None □ Mild □ Moderate □ Sental health or substance abuse is	vere ssues/diagnosis:



Father's Alcohol/Drug Use: ☐ None ☐ Mild ☐ Moderate ☐ Severe Paternal family history of mental health or substance abuse issues/diagnosis:
Are biological parents: ☐ Married ☐ Divorced ☐ Separated ☐ Never married How long?
Have any brothers or sisters had alcohol/drug problems? $\square$ Yes $\square$ No If yes, what chemicals and explain further:
Does your family know about your application to HPAP and why you are seeking application? $\square$ Yes $\square$ No $\square$ N/A
Education, License, and Employment History: List all post high school education and highest grade/degree:
List all professional licenses and license status:
Current Employer:
How many hours per week do you work?
What is your present job title/department/responsibilities?
Have you had problems at work? ☐ Yes ☐ No  If yes, mark applicable problems:  • Missing work? ☐ Yes ☐ No  • Late? ☐ Yes ☐ No  • Poor performance? ☐ Yes ☐ No
List the ways in which your present employment, or professional license has been affected by substance use, mental health, or behavioral issues (e.g. tardiness, absenteeism, accidents, poor performance, and conflict with co-workers, supervisors, or patients):
Who at work is aware of any concerns?
Are these individuals supportive? ☐ Yes ☐ No



**Employment and Military Service History:** Organization/Department: Employment Dates/ Reason for Leaving: **Health History:** How would you describe your health? \_\_\_\_\_ List all current physical health concerns, age of onset, and course of treatment: \_\_\_\_\_\_ Any serious injuries in your lifetime? ☐ Yes ☐ No If yes, was this related to alcohol/drug use?  $\square$  Yes  $\square$  No If yes, explain: Do you have recurring pain? ☐ Yes ☐ No If yes, describe: When was the last time you saw a doctor? \_\_\_ List all physician/medical providers who are involved in managing your health: \_\_\_\_\_ List all prescribed medications, include dose and frequency of use: List all regularly taken over the counter medications and supplements and reason for use: Have physical symptoms led to missed time at work, required accommodations at work, or led to difficulties at work? ☐ Yes ☐ No If yes, please describe: If prescribed medication, have you used a higher dose or used more frequently than prescribed? ☐ Yes ☐ No If yes, please describe:



Have you ever had thoughts of suicide?  $\square$  Yes  $\square$  No

-		ychiatric care in the past? □ Yes □ No	)
If yes, did you red	equire medical care Location:	?? List below: Diagnosis/Reason for Service:	Leave for this illne
Date of Service.	Location.	Diagnosis/Reason for Service.	or treatment?
			☐ Yes ☐ No
			☐ Yes ☐ No
			☐ Yes ☐ No
			☐ Yes ☐ No
symptoms? ☐ Y	es □ No	reviously been prescribed medication to	
	ber recommend dis	continuing these mediations? ☐ Yes ☐ ☐ Yes ☐ No	No or,
•	•	trauma, or any form of abuse? ☐ Yes [	□ No
•		ieve you have difficulty managing feelir	ngs of anger? □ Yes □ No
•	nyone due to ange	o anger? □ Yes □ No -? □ Yes □ No	
Do you ever thir If yes, explain:	•	ng or killing someone? □ Yes □ No	
What helps you	in managing these	feelings?	
Are you currentl	y experiencing feel	ing of hopelessness? ☐ Yes ☐ No	



What helps you in managing these feelings?					
Are you thinking of suicide now? ☐ Yes ☐ No Have you attempted to end your life? ☐ Yes ☐ No If yes, please discuss nature and circumstances of attempt(s) and date(s):					
If "yes" was alcohol or drug use involved:  Before? □ Yes □ No During: □ Yes □ No After: □ Yes □ No					
Have emotional or psychiatric symptoms led to missed time at work, required accommodation work, or led to difficulties at work? $\square$ Yes $\square$ No If yes, describe:	s at				
Have you felt that you use too much alcohol or other drugs?   Yes   No  Have you tried to cut down or quit using alcohol or other drugs?   Yes   No  Have you had health problems related to alcohol or other drugs?   Yes   No  Have you been injured after using alcohol or other drugs?   Yes   No  Has your use of alcohol/other drugs caused problems between you and family/friends?   Yes   Has your use of alcohol or other drugs contributed to missed school or work or school?   Yes   Has your use of alcohol/drugs affected your performance at work or school?   Yes   No  Have you lost your temper, gotten into arguments, or fights while using alcohol/drugs?   Yes	□ No				
Are you finding you are using more alcohol/drugs in greater amounts or increased frequency teffect you want? $\square$ Yes $\square$ No	o get the				
Amount spent per □ week □ month on alcohol? \$  Amount spent per □ week □ month on drugs? \$					
Do you spend a lot of time thinking about or trying to get alcohol or other drugs? $\square$ Yes $\square$ No					
When using alcohol or using drugs, are you more likely to do something you wouldn't normally such as break rules, break the law, sell things that are important to you, or have unprotected someone? $\square$ Yes $\square$ No					
Do you feel bad or quilty about you use of alcohol or other drugs? ☐ Yes ☐ No					



☐ Felt sick, shaky, or dep ☐ Injured your head afte ☐ Had convulsions, deliri ☐ Had hepatitis or other ☐ Been injured after usin ☐ Used needles/IV/IM dr  Have you sought help been	um tremens liver problems ng alcohol or drugs rug use	use drug use? (i.e. Alcoholics Al	nonymous, Narcotics
,	,		
Please list all alcohol or d Date of Service:	rug treatment services (in Location:	patient, outpatient, individ Reason for Service:	ual):  Required Time Off or  Medical Leave for this illness or tx?
			☐ Yes ☐ No
			☐ Yes ☐ No
			☐ Yes ☐ No
			☐ Yes ☐ No
Legal History:			
		ed) with the most recent fi	
Date:	Charge:	Outcome:	Mark if Drug or Alcohol related:
			☐ Yes ☐ No
			☐ Yes ☐ No
			☐ Yes ☐ No
			☐ Yes ☐ No
Probation Officer's Name:  Parole Officer's Name:  Attorney's Name:  Driver's License Suspende Awaiting Trial:   Yes   Awaiting Sentence:   Yes	ed:  Yes  No No s  No		
Otner:			



If you have had alcohol related arrests, please answer the following: Why did the police stop you or arrest you?
What was your BAC at the time of your arrest?
If applicable, how much alcohol would you estimate you had to drink the arrest?
How many times or how often have you driven intoxicated in your lifetime, with no arrest?
Social Summary:
With whom do you spend most of your free time?
Would you have support to participate in mental health or substance use treatment, and/or abstaining from alcohol/drug use? ☐ Yes ☐ No Do you have any problems paying bills or handling money? ☐ Yes ☐ No What are your recreational interests, how do you spend your free time?
Spirituality:
Religious preference:
Do you consider yourself a spiritual person? ☐ Yes ☐ No Do you have a belief in a higher power? ☐ Yes ☐ No Please describe your strengths:
Tell us about a period of success you had in your life:
What strengths contributed to that success?



# Rate on a scale of 0-5, with 0 meaning it is not a difficult area in your life presently, up to 5 meaning it is a major concern in your life now.

Mark your rating to the following:	0 (not difficult)	1	2	3	4	5 (major concern)
I approach new situations with enthusiasm						
I am achieving goals I have set						
I can express my feelings appropriately						
I ask for support when I need it						
I recognize when I am stressed						
I act defensively to constructive criticism						
I become easily frustrated						
I hold on to anger/resentments						
I react with aggressive or violent behavior						
I need education about substance use						
I have low level of motivation for sobriety						
I struggle with cravings						
I experience relapse/unable to stay sober						
I am unable to control substance use						
I actively maintain contact with others						
I am distrustful of others						
I have supportive friends/relationships						
I am lonely/isolated/withdrawn						
I am shy/afraid to talk to others						
I tend to blame others for my problems						
I prioritize self-care						
I eat a well-balanced diet						
I take time out for myself when needed						
I keep myself physically fit						
I know my strengths and weaknesses						
I experience frequent feelings of remorse						
I experience frequent feelings of shame						
I experience feelings of low self-worth						
I am experiencing depression/despair						
I have financial/budgeting concerns						
I have feelings of anxiety/stress/can't relax						
I know how to relax under pressure						
I have a positive view of my future					1	
I have hobbies/ activities outside of work					1	
I feel valued in my vocational/career					1	
I organize my time and prioritize tasks					1	
I have medical/physical concerns					1	

	on:

Applicant Signature

ALLESTATION:
I, the undersigned, declare and affirm under the penalties of perjury that this Intake and History Form
has been examined by me, and to the best of my knowledge and belief, is in all things true and
correct. I am aware that should I furnish any false information, such an act may constitute cause for
denial of admission or termination from HPAP and reporting to the board.

Date



## **Authorization and Consent to Release Information Form**

Ι,		,
Name		DOB
authorize Midwest Health Management Se		
Professionals Assistance Program (HPAP),		•
•		and authorize these individuals/entities to
release any requested information to MWI		· · · · · · · · · · · · · · · · · · ·
		representative of MWHMS/HPAP, for any
matter arising out of the release of inform	lation by MWHMS/I	HPAP to agreed upon parties.
Name:	Phone Number:	Address:
• Complete Treatment Needs Assessment		gs (mental health or SUD)
Discharge Summary (mental health or S     Continued Care Recommendations (mental health or S)		
<ul><li>Continued Care Recommendations (mer</li><li>Counseling/Psychiatric/Psychological Re</li></ul>		th or SUD)
<ul> <li>Participation Agreement</li> </ul>	cords (mentar near	11 01 300)
• Urine Drug Screen/Toxicology Reports/S	Soberlink/Affinity e	Health/Affinity Empowering/Recovery Trek
Worksite monitoring		
<ul><li>Medical records/lab results</li><li>Legal Records</li></ul>		
<ul> <li>SD PDMP (South Dakota Prescription Dr</li> </ul>	ua Monitorina Prod	ram/Profile)
	ag : :=:::::::::::::::::::::::::::::::::	
I understand that I may revoke this o		
has already been taken in reliance on automatically as follows:	it, and that in ar	y event this consent expires
	uration of involvem	ent
	Date/Event/Conditio	n
Participant Signature		 Date
MWHMS Staff Signature		 Date

This information has been disclosed to you from records protected by Federal confidentiality rules, 42 CFR part 2, and HIPAA. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 CFR part 2, and HIPAA. A general authorization for the release of medical or other information is NOT sufficient for this purpose. The Federal rules restrict any use of the information to criminally investigate or prosecute any alcohol or drug abuse patient.



## **SD BON HPAP Payment Agreement Form**

Initial each item:	I understand and accept the following payment conditions for enrollment as a participant in the SDBON's HPAP. The SD Board of Nursing determines payment and funding responsibilities annually.
	I agree to submit a fee of \$200 with the <i>Initial Application</i> (Form 1). Payment must be cash, check, or a money order payable to MWHMS.
	I understand the expense to participate in HPAP is \$3,000 per year.
	I understand that if I am a participant with an active SD nursing license, my cost to participate is shared with the Board of Nursing. I will be responsible to pay \$312.50 per quarter. I will submit payments no later than January 7 <sup>th</sup> , April 7 <sup>th</sup> , July 7 <sup>th</sup> , and Oct 7 <sup>th</sup> . Payments may be cash, check, or a money order payable to MWHMS. Credit card payments will be expected but due to additional fees required for use will have a \$10 added fee.
	I understand that if I am a participant who does not hold an active SD nursing license, I am responsible to pay \$750 per quarter. Payment will be due by January 7 <sup>th</sup> , April 7 <sup>th</sup> , July 7 <sup>th</sup> , Oct 7 <sup>th</sup> . Payments must be cash, check, or a money order payable to MWHMS.
	I understand that if I am delinquent in payments, payments will be subject to a 10% service fee if payment is not received by the 7th.
	I understand non-sufficient fund or returned checks will result in an additional \$50 service fee.
	I understand that all fees are non-refundable.
	I understand that failure to meet my financial responsibility may result in termination from HPAP and reporting to the board.

Participant Signature Accepting Terms of Financial Responsibility	Date	